

Hi [Client Name],

We are reaching out to let you know about an upcoming restitution check payment related to our historical fully paid securities lending (FPSL) program.

You are receiving this payment pursuant to a settlement entered into between Altruist Financial LLC and the Financial Industry Regulatory Authority (FINRA). FINRA is a not-for-profit membership organization that, working under the supervision of the Securities and Exchange Commission, serves as the first line of oversight for the brokerage industry.

As a FINRA member, Altruist Financial LLC is subject to FINRA rules of conduct. FINRA periodically examines all of its member firms for compliance with its rules. FINRA may bring disciplinary actions against member firms to sanction or correct rule violations, including ordering restitution to investors who suffered losses. The credit is the result of disciplinary action. You can access a copy of this FINRA disciplinary action here: [https://www.finra.org/sites/default/files/fda\\_documents/2024082708301%20Altruist%20Financial%20LLC%20CRD%20299274%20AWC%20vrp.pdf](https://www.finra.org/sites/default/files/fda_documents/2024082708301%20Altruist%20Financial%20LLC%20CRD%20299274%20AWC%20vrp.pdf)

If you would like further information about FINRA or this settlement, you can contact FINRA by calling FINRA Enforcement attorney Steven Peretz at (301) 590-6500 or by email at [steve.peretz@finra.org](mailto:steve.peretz@finra.org). You can also learn more about FINRA at [www.finra.org](http://www.finra.org)

## Payment details:

Last 5 of Account Number	Account Type	Restitution Amount

Because the account is no longer eligible for other fund transfer methods, we are issuing a check to the last address of record.

No action is required from you to receive these funds.

## Altruist Support