
Altruist Fee Schedule

Altruist Financial LLC
Effective September 25, 2026

altruist

Fee Schedule

Administrative

ACAT & Non-ACAT Transfers Out (Full)	\$50
ACAT & Non-ACAT Transfers Out (Partial) ¹	\$0
Annual Account Maintenance (All Account Types Including Retirement)	\$0
Corrected Tax Forms	\$0
Paper Account Statements & Tax Form Requests (Per Document)	\$5
Paper Trade Confirmations (Per Document)	\$3
Physical Certificates (Handling, Review, Processing, Request, Transfer, Delivery, Settlement, Deposits, Securities & Foreign Accommodation)	\$100
Roth Conversion	\$0
Voluntary Corporate Actions (Reorganizations, Warrants, Rights Exercises, Late Instructions & Death Puts)	\$50
401 (k) Plan Administration	\$0
Pass Through ²	Varies

Administrative

Donor Advised Fund Administration (Basis Points on AUM in DAF account)	
First \$500K	0.50%
Next \$500K	0.30%
Next \$1.5M	0.20%
Next \$2.5M	0.15%
Next \$5M	0.13%
Next \$5M	0.12%
\$15M+	0.10%

Cashiering

ACH or Check Issue (Return or Stop Payment)	\$25
Check Distribution ³ (Submitted online)	\$5
Check Distribution ³ (Submitted via form)	\$10
Expedited Check (Express Mail & Overnight Delivery)	\$50
Checkbook (60 checks & trackable delivery)	\$35
Wire Distribution ³ (Submitted online)	\$15
Wire Distribution ³ (Submitted via form)	\$30
Wire Issue (Return or Stop Payment)	\$35

Trading	Standard	Altruist One ⁷
Stocks, Options & ETFs ^{2,4}		
Equities & ETFs ⁵	\$0	\$0
Options per-contract fee Per-contract fees are waived for buy-to-close options trades executed online when the option price is \$0.50 or less per contract	\$0.50	\$0.50
Options Regulatory and OCC Clearing Fee ⁴	\$0.04	\$0.04
Option Exercise / Assignment	\$0	\$0
Fixed Income		
Treasuries (Secondaries including Bonds, Bills, Notes, STRIPS, TIPS & Zero-Coupon)	\$0	\$0
Certificates of Deposit, Corporates, Government Agencies & Municipals	\$1 per bond \$10 / \$200 max	\$0
Mutual Funds ⁶		
No-Transaction-Fee (NTF): (Includes Select Money Market Funds & All Trades <\$1,000)	\$0	\$0
Transaction Fee: Mutual & Money Market Funds (Trades > \$1,000)	\$20	\$0
Vanguard & DFA	\$5	\$0
Share-Class Conversion, Exchanges, Short Term Redemptions	\$20	\$20

Trading	Standard	Altruist One ⁷
Alternatives, partner funds (includes all funds on Alternative Asset marketplace)	\$0	\$0
Alternatives, non-partner funds (max \$400 per account)	\$200	\$200
Broker Assisted Fee (Phoned in trades, including sell outs)	\$25	\$25
Regulation T Call Extension	\$10	\$10

¹ Partial transfers requesting all assets will be charged as a full transfer.

²Certain pass-through fees may apply, including the following: ADR Fees, CDSC Charges, Direct Registration System (DRS) Transfer Out (per item), FINRA Trading Activity Fee (TAF) (per share or per contract), SEC 31 Fee (calculated based on the principal amount of covered sales at a rate set by the SEC), and CAT Fees (per share or per contract). Rates and amounts are subject to change. Processing and service fees assessed by third parties may be passed through to the customer's account. Some products and services may require additional fees or transaction minimums not specifically listed here. Prior to placing a trade or requesting any services, it is the customer's responsibility to ask Altruist Financial if any additional fees will apply. *FINRA has waived the TAF for transactions executed October 1 through December 31, 2026.*

³ For check and wire distributions, we'll charge the lesser of the online and form fee until our new online submission features are available.

⁴ Altruist Financial LLC ("Altruist Financial") charges a flat \$0.04 per contract as a blended rate covering the Options Regulatory Fee ("ORF") and the OCC Clearing Fee. The ORF is assessed by U.S. options exchanges on their members and varies by exchange, execution venue, and broker membership; the OCC Clearing Fee is charged by The Options Clearing Corporation for clearing and settlement services. Set in Altruist Financial's sole discretion, the amount collected may differ from or exceed fees actually remitted due to rounding, timing, rate caps, and similar factors, and Altruist Financial may retain the difference as revenue.

⁵ Commission-free trading refers to \$0 commissions for Altruist Financial brokerage accounts that trade US listed equities, ETFs and warrants via web or mobile devices. The trading of ADRs and Over-the-Counter securities are subject to additional fees which may be passed through to you.

⁶ Mutual Fund Trade fees apply to orders greater than or equal to \$1,000. Mutual fund trade fees are calculated using the prior day's NAV. Because of this, trades executing at less than \$1,000 may still incur the Mutual Fund fee. If Altruist, in its sole discretion, determines that an advisor is engaging in trading behaviors designed to avoid mutual fund transaction fees, including splitting orders for shares of the same fund into smaller increments, it reserves the right to apply mutual fund transaction fees to all such orders. Altruist reserves the right to change the funds made available without transaction fees. Altruist Financial LLC may receive remuneration from transaction fee fund companies for certain administrative services. This may create a conflict of interest as Altruist could earn more compensation from no-transaction-fee funds than it could earn from other funds available, including funds with a transaction fee.

⁷ Altruist One pricing applies to accounts subscribed to the Altruist One program and/or managed by Investment Adviser Representatives of Altruist Advisors LLC. Pricing is subject to change.

Certain products or features may be subject to separate fees or fee schedules. Please refer to the applicable documentation for further details. Altruist Corp (Altruist) offers technology and tools designed to help financial advisors achieve better outcomes. Advisory and certain other services are provided by Altruist LLC, an SECregistered investment adviser, and brokerage related products and services are provided by Altruist Financial LLC, a member of FINRA/SIPC. Nothing in this communication should be construed as an offer, recommendation, or solicitation to buy or sell any security. Additionally, Altruist or its affiliates do not provide tax advice and investors are encouraged to consult with their personal tax advisors. Clearing and custody of securities provided by Altruist Financial LLC.